Docket No.: 42P16119



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Application of:

BRIAN T. LEWIS

Application No.: 10/684,012

Filed: October 10, 2003

For: METHOD AND APPARATUS FOR

FEEDBACK-BASED MANAGEMENT OF COMBINED HEAP AND COMPILED

CODE CACHES

Art Group: 2186

THAI, TUAN V

Examiner:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 09, 2006

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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Gayle Bekish

Date

11-09-06

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Substitute for form 1449A/PTO

## NFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet 1 of

Complete if Known				
Application Number	10/684,012			
Filing Date	October 10, 2003			
First Named Inventor	Brian T. lewis			
Art Unit	2186			
Examiner Name	THAI, TUAN V			
Attorney Docket Number	42P16119			

	U.S. PATENT DOCUMENTS								
Document Number Publication Date Pages Column									
	Cite No.1	Number - Kind Code² (if known)	Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
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FOREIGN PATENT DOCUMENTS						
Examiner Cite No.1	Cite	Foreign Patent Document			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
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Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (w/r) 08/11/2003.

Substitute for form 1449A/PTO			Complete if Known		
INFORMATIO	ON DISCLOS	HIDE	Application Number	10/684.012	
INFORMATION DISCLOSURE		Filing Date	October 10, 2003		
STATEMENT BY APPLICANT			First Named Inventor	Brian T. lewis	
			Art Unit	2186	
		_	Examiner Name	THAI, TUAN V	
Sheet	of	2	Attorney Docket Number	42P16119	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Brian Lewis, et al., "The Open Runtime Platform: A Flexible High-Performance Managed Runtime Environment," Intel Technology Journal, Vol. 7, Issue 1, pp. 5-18, February 19, 2003	·
		Bala, et al., "Dynamo: A Transparent Dynamic Optimization System", PLDI 2000, Vancouver, Canada, 2000	
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